# Multi-attribute vehicle routing : unified metaheuristics and timing sub-problems

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#### **Outline of the presentation**

# I) A general-purpose solver for multi-attribute vehicle routing problems

- Multi-attribute vehicle routing problems
- An efficient and unified local search for MAVRPs
- > A Unified Hybrid Genetic Search (UHGS) for MAVRPs
- Computational experiments

#### II) Timing problems and algorithms

- > Several applications presenting similar *timing* issues
- Classification and notation
- Reductions
- Timing Re-optimization



- □ Classical "vehicle routing problems (VRP)"
   → plethora of exact and heuristic methods
- Challenges related to the resolution of VRP variants with additional attributes (multi-attribute VRPs, MAVRPs)
  - modeling the specificities of application cases, customers requirements, network and vehicle specificities, operators abilities...
  - Combining several attributes together can lead to highly complex rich VRPs.
  - Dramatic increase in the literature dedicated to specific VRP variants.





## **Multi-attribute vehicle routing problems (MAVRPs)**

- General purpose solvers / unified methods: address a wide range of problems without need for extensive adaptation or user expertise.
  - Necessary tools for 1) the timely application of current optimization methods to industrial settings. 2) for assessing the scope of application of elements of methodology
  - Few/none of them in the academic VRP literature. Some algorithms reporting high quality solutions on several MAVRPs: UTS (Cordeau et al. 1997,2001), ALNS (Pisinger and Ropke 2006), ILS (Subramanian et al. 2013).
    - 7 MAVRP with a single code
    - But "curse of richness"



#### **Classification & Proposed Methodology**

 We classified attributes into three categories related to their impact on VRP resolution methods :











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## **Classification & Proposed Methodology**

- ASSIGN ATTRIBUTES: impacting the assignment of customers and routes
  - Periodic, Multi-Depot, Heterogeneous Fleet, Location Routing...
- SEQ ATTRIBUTES: impacting the nature of the network and the sequences
  - P&D, Backhauls, Two Echelon, Truck-and-Trailer...
- EVAL ATTRIBUTES: impacting the evaluation of fixed routes
  - Time windows, Time-dep. travel time, Loading constraints, HOS regulations Lunch breaks, Load-Dependent costs...





#### Challenge: Achieving both genericity and efficiency

- Still need to address the problem → but relegating problem-specificities to small modular components
- Each separate MAVRP shall be still addressed with state-of-the-art solution evaluation and search procedures
- Not dealing with "dummy" attributes



#### **Attribute-based modular design**

#### Unified framework:

- Relying on assignment, sequencing & route evaluation (RE) operators to do attribute-dependent tasks. Implemented in a generic way.
- Attribute-dependent modules are selected and combined by the method, relatively to the problem structure, to implement the assignment, sequencing and RE operators.



## An efficient and unified local search for MAVRPs

#### Route Evaluation Operators based on re-optimization

- Main Property : Any local-search move involving a bounded number of node relocations or arc exchanges can be assimilated to a concatenation of a bounded number of sub-sequences.
- > The same subsequences appear many times during different moves





- Data preprocessing on sub-sequences to speed up the search (Savelsbergh 1985,1992 ...)
- > The route evaluation operator must allow for such preprocessing.



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#### An efficient and unified local search for MAVRPs

#### Route Evaluation Operators based on re-optimization

- Main Property : Any local-search move involving a bounded number of node relocations or arc exchanges can be assimilated to a concatenation of a bounded number of sub-sequences.
- > We compute characteristic information on subsequences by induction on the concatenation operator  $\oplus$ . Four tasks are necessary:
  - Init: Initialize the characteristic information on a single node
  - Forw: Append an additional node at the end of an existing sequence, and derive the resulting characteristic information
  - **Back:** Append an additional node at the beginning of an existing sequence, and derive the resulting characteristic information
  - **Eval:** Evaluate a move as a concatenation of a bounded number of subsequences using the characteristic information of each one.



Example 1) Route evaluation operators for distance and capacity constraints

**What is managed** ?  $\rightarrow$  Partial loads L( $\sigma$ ) and distance D( $\sigma$ )

**Init**  $\rightarrow$  For a sequence  $\sigma_0$  with a single visit  $v_i$ ,  $L(\sigma_0) = q_i$  and  $D(\sigma_0) = 0$ 

**Forw and Back**  $\rightarrow$  increment L( $\sigma$ ) and D( $\sigma$ )

**Eval**  $\rightarrow$  compute the data by induction on the concatenation operator

 $Q(\sigma_1 \oplus \sigma_2) = Q(\sigma_1) + Q(\sigma_2)$  $D(\sigma_1 \oplus \sigma_2) = D(\sigma_1) + d_{\sigma_1(|\sigma_1|)\sigma_2(1)} + D(\sigma_2)$ 







Example 2) Route evaluation operators for cumulated arrival time objectives

**What is managed**?  $\rightarrow$  Travel time D( $\sigma$ ), Cumulated arrival time C( $\sigma$ ), Delay Cost W( $\sigma$ ) associated to one unit of delay in starting time

**Init**  $\rightarrow$  For a sequence  $\sigma_0$  with a single visit  $v_i$ ,  $D(\sigma_0) = 0$  and  $C(\sigma_0) = 0$ , and  $W(\sigma_0) = 1$  if  $v_i$  is a customer, and  $W(\sigma_0) = 0$  if  $v_i$  is a depot visit.

Forw & Back & Eval  $\rightarrow$  induction on the concatenation operator:

$$D(\sigma_1 \oplus \sigma_2) = D(\sigma_1) + d_{\sigma_1(|\sigma_1|)\sigma_2(1)} + D(\sigma_2)$$
  

$$C(\sigma_1 \oplus \sigma_2) = C(\sigma_1) + W(\sigma_2)(D(\sigma_1) + d_{\sigma_1(|\sigma_1|)\sigma_2(1)}) + C(\sigma_2)$$
  

$$W(\sigma_1 \oplus \sigma_2) = W(\sigma_1) + W(\sigma_2)$$



**Example 3)** Route evaluation operators for time windows (and route) duration constraints)

What is managed ?  $\rightarrow$  Travel time and service time T( $\sigma$ ), earliest feasible completion time  $E(\sigma)$ , latest feasible starting date  $L(\sigma)$ , statement of feasibility  $F(\sigma)$ .

**Init**  $\rightarrow$  For a sequence  $\sigma_0$  with a single visit  $v_i$ ,  $T(\sigma_0) = s_i$ ,  $E(\sigma_0) = e_i + s_i$ ,  $L(\sigma_0) = I_i \text{ and } F(\sigma_0) = true.$ 

Forw & Back & Eval  $\rightarrow$  induction on the concatenation operator:

$$T(\sigma_{1} \oplus \sigma_{2}) = T(\sigma_{1}) + d_{\sigma_{1}(|\sigma_{1}|)\sigma_{2}(1)} + T(\sigma_{2})$$
  

$$E(\sigma_{1} \oplus \sigma_{2}) = \max\{E(\sigma_{1}) + d_{\sigma_{1}(|\sigma_{1}|)\sigma_{2}(1)} + T(\sigma_{2}), E(\sigma_{2})\}$$
  

$$L(\sigma_{1} \oplus \sigma_{2}) = \min\{L(\sigma_{1}), L(\sigma_{2}) - d_{\sigma_{1}(|\sigma_{1}|)\sigma_{2}(1)} - T(\sigma_{1})\}$$
  

$$F(\sigma_{1} \oplus \sigma_{2}) \equiv F(\sigma_{1}) \wedge F(\sigma_{2}) \wedge (E(\sigma_{1}) + d_{\sigma_{1}(|\sigma_{1}|)\sigma_{2}(1)} \leq L(\sigma_{2}))$$









Example 4) Route evaluation operators for lunch break positioning in presence of time-window constraints

What is managed ?  $\rightarrow$  Same set of data (T( $\sigma$ ), E( $\sigma$ ), L( $\sigma$ ), and F( $\sigma$ )) as in the TW case, and it is duplicated to also provide T'( $\sigma$ ), E'( $\sigma$ ), L'( $\sigma$ ), and F'( $\sigma$ ) for the sequence where exactly one lunch break was inserted.

**Init**  $\rightarrow$  As previously for T( $\sigma_0$ ), E( $\sigma_0$ ), L( $\sigma_0$ ), and F( $\sigma_0$ ). Furthermore, T'( $\sigma_0$ ) = + $\infty$ , E'( $\sigma_0$ ) = + $\infty$ , L'( $\sigma_0$ ) = 0, and F'( $\sigma_0$ ) = *false*.

Forw & Back & Eval  $\rightarrow$  induction on the concatenation operator, see next page for the equations.



Example 4) Route evaluation operators for lunch break positioning in presence of time-window constraints

$$\begin{split} E'(\sigma_1 \oplus \sigma_2) &= \min(\{E'_{\text{case i}} | F'_{\text{case i}} = true\} \cup +\infty) \\ L'(\sigma_1 \oplus \sigma_2) &= \max(\{L'_{\text{case i}} | F'_{\text{case i}} = true\} \cup -\infty) \\ F'(\sigma_1 \oplus \sigma_2) &= F'_{\text{case 1}} \vee F'_{\text{case 2}} \vee F'_{\text{case 3}} \\ \\ E'_{\text{case 1}} &= \max\{E'(\sigma_1) + d_{\sigma_1(|\sigma_1|)\sigma_2(1)} + T(\sigma_2), E(\sigma_2)\} \\ E'_{\text{case 2}} &= \max\{E(\sigma_1) + d_{\sigma_1(|\sigma_1|)\sigma_2(1)} + s_{\text{LB}} + T(\sigma_2), e_{\text{LB}} + s_{\text{LB}} + T(\sigma_2), E(\sigma_2)\} \\ E'_{\text{case 3}} &= \max\{E(\sigma_1) + d_{\sigma_1(|\sigma_1|)\sigma_2(1)} + T'(\sigma_2), E'(\sigma_2)\} \\ L'_{\text{case 1}} &= \min\{L'(\sigma_1), L(\sigma_2) - p_{\sigma_1(|\sigma_1|)\sigma_2(1)} - T'(\sigma_1)\} \\ L'_{\text{case 2}} &= \min\{L(\sigma_1), l_{\text{LB}} - T(\sigma_1), L(\sigma_2) - p_{\sigma_1(|\sigma_1|)\sigma_2(1)} - s_{\text{LB}} - T(\sigma_1)\} \\ L'_{\text{case 1}} &= \min\{L(\sigma_1), L'(\sigma_2) - p_{\sigma_1(|\sigma_1|)\sigma_2(1)} - T(\sigma_1)\} \\ F'_{\text{case 1}} &= F'(\sigma_1) \wedge F(\sigma_2) \wedge (E'(\sigma_1) + p_{\sigma_1(|\sigma_1|)\sigma_2(1)} \leq L(\sigma_2)) \\ F'_{\text{case 2}} &= F(\sigma_1) \wedge F(\sigma_2) \wedge (E(\sigma_1) + p_{\sigma_1(|\sigma_1|)\sigma_2(1)} \leq L(\sigma_2)) \\ F'_{\text{case 3}} &= F(\sigma_1) \wedge F'(\sigma_2) \wedge (E(\sigma_1) + p_{\sigma_1(|\sigma_1|)\sigma_2(1)} \leq L(\sigma_2)) \end{split}$$









Example 5) Route evaluation operators for soft and general time windows

What is managed ?  $\rightarrow$  Minimum cost F( $\sigma$ )(t) to process the sequence  $\sigma$  while starting the last service before time t, minimum cost B( $\sigma$ )(t) to process the sequence  $\sigma$  after time t.

**Init**  $\rightarrow$  For a sequence  $\sigma_0$  with a single visit  $v_i$  characterized by a service cost function  $c_i(t)$ ,  $F(\sigma_0)(t) = \min_{(x \le t)} c_i(x)$  and  $B(\sigma_0)(t) = \min_{(x \ge t)} c_i(x)$ .

Forw & Back 
$$\rightarrow$$

$$F(\sigma \oplus v_i)(t) = \min_{0 \le x \le t} \{c_i(x) + F(\sigma)(x - s_{\sigma(|\sigma|)} - d_{\sigma(|\sigma|),i})\}$$

$$B(v_i \oplus \sigma)(t) = \min_{x \ge t} \{c_i(t) + B(\sigma)(x + s_i + d_{i,\sigma(1)})\}$$

**Eval 2** 
$$\rightarrow Z^*(\sigma_1 \oplus \sigma_2) = \min_{x \ge 0} \{ F(\sigma_1)(x) + B(\sigma_2)(x + s_{\sigma_1(|\sigma_1|)} + d_{\sigma_1(|\sigma_1|)\sigma_2(1)}) \}$$



Example 6) Route evaluation operators for the generalized VRP :



What is managed ?  $\rightarrow$  The shortest path S( $\sigma$ )[i,j] inside the sequence  $\sigma$  starting at the location *i* of the starting group and finishing at location *j* of the ending group.

**Init**  $\rightarrow$  For a sequence  $\sigma_0$  with a single visit  $v_i$ ,  $S(\sigma)[i,j] = +\infty$  if  $i \neq j$ , and  $S(\sigma)[i,i] = 0$ .

Forw & Back & Eval  $\rightarrow$  induction on the concatenation operator:

$$S(\sigma_1 \oplus \sigma_2)[i, j] = \min_{1 \le x \le \lambda_{\sigma_1(|\sigma_1|)}, 1 \le y \le \lambda_{\sigma_2(1)}} S(\sigma_1)[i, x] + d_{xy} + S(\sigma_2)[y, j]$$
$$\forall i \in \{1, \dots, \lambda_{\sigma_1(1)}\}, \forall j \in \{1, \dots, \lambda_{\sigma_2(|\sigma_2|)}\}$$









- **Other examples:** many other route evaluation operators have been designed for other vehicle routing variants.
  - > Some advanced route evaluation operators, based on dynamic programming, enable to implicitly and optimally decide the first visit in the route (optimal rotation), the customer-to-depot or customer-to-vehicle type assignment, or the selection of customers in a prize-collecting setting.

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> See for further examples: Vidal, T., Crainic, T. G., Gendreau, M., & Prins, C. (2012). Implicit Depot Assignments and Rotations in Vehicle **Routing Heuristics.** Submitted to FJOR. Tech. Rep. 2012, CIRRELT.

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# An efficient and unified local search for MAVRPs

#### Generic local-search based on route evaluation operators

Algorithm 1 Unified local search based on route evaluation operators

- 1: Detect the good combination of evaluation operators relatively to the problem attributes
- 2: Build re-optimization data on subsequences using the INIT, FORW and BACK operators.
- 3: while some improving moves exist in the neighborhood  ${\cal N}$  do
- 4: for each move  $\mu_i$  in  $\mathcal{N}$  do
- 5: for each route  $r_i^{\mu}$  produced by the move do
- 6: Determine the k sub-sequences  $[\sigma_1, \ldots, \sigma_k]$  that are concatenated to produce  $r_i^{\mu}$
- 7: **if** k = 2, then NEWCOST $(r) = EVAL2(\sigma_1, \sigma_2)$
- 8: else if k > 2, then NEWCOST $(r) = \text{EVALN}(\sigma_1, \ldots, \sigma_k)$
- 9: **if** ACCEPTCRITERIA( $\mu_i$ ) **then** perform the move  $\mu$  and update the re-optimization data on for each route  $r_j^{\mu}$  using the INIT, FORW and BACK operators.
  - Can serve as the basis to build any neighborhood-based unified solver based on VNS, Tabu, ILS for MAVRPs with EVAL attributes.
  - □ Going one step further, designing a unified hybrid GA.



□ UHGS = Classic GA framework + 4 main ingredients (Vidal et al. 2010)

- Management of penalized infeasible solutions in two subpopulations
- > High-performance local search-based Education procedure
- Solution Representation without trip delimiters
- > Diversity & Cost objective for individuals evaluations



#### **A Unified Hybrid Genetic Search (UHGS) for MAVRPs**



## **Unified Solution Representation and Split**

- Now dealing with MAVRPs with both ASSIGN and EVAL attributes: Assignment of customer services to some ASSIGN attributes resources (AARs) + separate optimization of routes for each AARs.
  - Solution representation is designed accordingly.
  - Furthermore, representation without trip delimiters for each AAR.



# **Unified Solution Representation and Split**

- Solution representation as a giant-tour per AAR  $\rightarrow$  requires a Split algorithm (Prins 2004) for optimal segmentation into routes.
- We propose a **unified Split** algorithm
  - > As usual, the problem is solved as a m-shortest path
  - The route evaluation operators are used to build the auxiliary graph





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## **Unified Solution Representation and Split**

□ Solution representation as a giant-tour per AAR → requires a Split algorithm (Prins 2004) for optimal segmentation into routes.

#### Unified Split algorithm.

#### Algorithm 2 Generic Split

- 1: for each node  $i \in \{0, \dots, \nu\}$  do
- 2:  $SeqData(\sigma) = INIT(\{v_0\}) / Initialize with depot vertex$
- 3: for each node  $j \in \{i, \dots, \min(i + \bar{r}, \nu)\}$  do
- 4:  $\phi(a_{ij}) = \text{EVAL2}(\sigma, \{v_0\}) / / Evaluate the route$
- 5:  $SeqData(\sigma) = FORW(\sigma, \{\tau_j\}) / Append a new customer to the route end$
- 6: Solve the shortest path problem on  $\mathcal{G}' = (\mathcal{V}, \mathcal{A})$  with cost  $\phi(a_{ij})$  for each arc  $a_{ij}$
- 7: Return the set of routes associated to the set of arcs of the shortest path



4 phases Assignment and Insertion Crossover (AIX), to produce a single offspring C from two parents P1 and P2.



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- Unified Local Search to perform route improvement (RI) on separate AAR.
  - Using CROSS, I-CROSS, Relocate, 2-Opt\* and 2-Opt neighborhoods
  - Pruning procedures (granular search)
  - Combined with an assignment-improvement (AI) procedure to reassign customer visits into different resources and routes : RI-AI-RI.



# **Population management and search guidance**

 Biased Fitness is a tradeoff between ranks in terms of solution penalized cost cost(I), and contribution to the diversity dc(I), measured as a distance to others individuals in the population.

$$BF(I) = fit(I) + (1 - \frac{nbElit}{nbIndiv - 1}) \times dc(I)$$

- Used during selection of the parents
  - Balancing strength with innovation during reproduction, and thus favoring exploration of the search space.
- and during selection of the survivors:
  - Removing the individual *I* with worst
     *BF(I)* also guarantees some elitism
     in terms of solution value.







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- Extensive computational experiments on 26 structurally different
   VRP variants and 39 sets of benchmark instances.
  - > A total of 1008 problem instances.
- Comparing UHGS with the best problem-tailored method for each benchmark and problem. 10 runs on each problem.
- □ In the following, we indicate for each method
  - > % Gap to the BKS of an average run (out of 10 for UHGS).
  - > % Gap to the BKS of a best run (out of 10 for UHGS).
  - Computational effort (total work time) for an average run
  - > Type of processor used.



Variant	Bench.	n	Obj.	State-of-the-art methods					
variant				Author	Avg.%	$\operatorname{Best}\%$	T(min)	CPU	
		[50, 199]		GG11:		+0.03%	$8 \times 2.38$	$8 \times Xe \ 2.3G$	
CVRP	CMT79		С	MB07:	+0.03%		2.80	P-IV 2.8G	
				UHGS*:	+0.02%	+0.00%	11.90	Opt 2.4G	
		[200,483]	С	GG11:		+0.29%	$8 \times 5$	$8 \times Xe 2.3G$	
CVRP	GWKC98			NB09:	+0.27%	+0.16%	21.51	Opt 2.4G	
				UHGS*:	+0.15%	+0.02%	71.41	Opt 2.4G	
	GJ89	[25,200]	С	ZK12:	+0.38%	+0.00%	1.09	$T5500 \ 1.67G$	
VRPB				GA09:	+0.09%	+0.00%	1.13	Xe 2.4G	
				UHGS:	+0.01%	+0.00%	0.99	Opt 2.4G	
CCVRP	CMT79	[50,199]	С	NPW10:	+0.74%	+0.28%	5.20	Core2 2G	
				RL12:	+0.37%	+0.07%	2.69	Core2 2G	
				UHGS:	+0.01%	-0.01%	1.42	Opt 2.2G	
CCVRP		[200,483]	С	NPW10:	+2.03%	+1.38%	94.13	Core2 2G	
	GWKC98			RL12:	+0.34%	+0.07%	21.11	Core2 2G	
				UHGS:	-0.14%	-0.23%	17.16	Opt 2.2G	
	SN99	[50,199]	С	SDBOF10:	+0.16%	+0.00%	$256{ imes}0.37$	$256{\times}{ m Xe}$ 2.67G	
VRPSDP				ZTK10:		+0.11%		$T5500 \ 1.66G$	
				UHGS:	+0.01%	+0.00%	2.79	Opt 2.4G	
VRPSDP	MG06	[100,400]	С	SDBOF10:	+0.30%	+0.17%	$256 \times 3.11$	$256 \times Xe \ 2.67G$	
				UHGS:	+0.20%	+0.07%	12.00	Opt 2.4G	
				S12 :	+0.08%	+0.00%	7.23	I7 2.93G	









Variant	Bench.	n	Obj.	State-of-the-art methods					
variant				Author	Avg.%	$\operatorname{Best}\%$	T(min)	CPU	
		[20,100]	С	ISW09:		+0.07%	8.34	P-M 1.7G	
VFMP-F	G84			SPUO12:	+0.12%	+0.01%	0.15	I7 2.93G	
				UHGS:	+0.04%	+0.01%	1.13	Opt 2.4G	
		[20,100]	С	ISW09:		+0.02%	8.85	P-M 1.7G	
VFMP-V	G84			SPUO12:	+0.17%	+0.00%	0.06	I7 2.93G	
				UHGS:	+0.03%	+0.00%	0.85	Opt 2.4G	
	G84	[20,100]	С	P09:		+0.02%	0.39	P4M 1.8G	
VFMP-FV				UHGS:	+0.01%	+0.00%	0.99	Opt 2.4G	
				SPUO12:	+0.01%	+0.00%	0.13	I7 2.93G	
LDVRP	CMT79	[50,199]	С	XZKX12:	+0.48%	+0.00%	1.3	NC 1.6G	
				UHGS:	-0.28%	-0.33%	2.34	Opt 2.2G	
LDVRP	CWKC08	8 [200,483]	С	XZKX12:	+0.66%	+0.00%	3.3	NC 1.6G	
	GWR030			UHGS:	-1.38%	-1.52%	23.81	Opt 2.2G	
PVRP		[50,417]	С	HDH09:	+1.69%	+0.28%	3.09	P-IV 3.2G	
	CGL97			UHGS*:	+0.43%	+0.02%	6.78	Opt 2.4G	
				CM12:	+0.24%	+0.06%	$64 \times 3.55$	$64 \times Xe \ 3G$	
	CGL97	[50,288]	С	CM12:	+0.09%	+0.03%	$64 \times 3.28$	$64 \times Xe \ 3G$	
MDVRP				S12:	+0.07%	+0.02%	11.81	I7 2.93G	
				UHGS*:	+0.08%	+0.00%	5.17	Opt 2.4G	
GVRP	B11	[16,262]	С	BER11:	+0.06%		0.01	Opt 2.4G	
				MCR12:	+0.11%		0.34	Duo 1.83G	
				UHGS:	+0.00%	-0.01%	1.53	Opt 2.4G	









Variant	Bench.	n	Obj.	State-of-the-art methods					
				Author	Avg.%	Best%	T(min)	CPU	
OVRP	CMT79 &F94	[50,199]	F/C	RTBI10:	0%/+0.32%		9.54	P-IV 2.8G	
				S12:	-/+0.16%	0%/+0.00%	2.39	I7 2.93G	
				UHGS:	0%/+0.11%	0%/+0.00%	1.97	Opt 2.4G	
		[200,480]	F/C	ZK10:	0%/+0.39%	0%/+0.21%	14.79	$T5500 \ 1.66G$	
OVRP	GWKC98			S12:	0%/+0.13%	0%/+0.00%	64.07	I7 2.93G	
				UHGS:	0%/-0.11%	0%/-0.19%	16.82	Opt 2.4G	
		100	F/C	RTI09:	0%/+0.11%	0%/+0.04%	17.9	Opt 2.3G	
VRPTW	SD88			UHGS*:	0%/+0.04%	0%/+0.01%	2.68	Xe 2.93G	
				NBD10:	$0\%/{+0.02\%}$	0%/+0.00%	5.0	Opt 2.4G	
VRPTW	HG99	[200,1000]	F/C	RTI09b:	—	+0.16%/+3.36%	270	Opt 2.3G	
				NBD10:	+0.20%/+0.42%	+0.10%/+0.27%	21,7	Opt 2.4G	
				UHGS*:	+0.18%/+0.11%	+0.08%/-0.10%	141	Xe 2.93G	
OVRPTW	SD88	100	F/C	RTI09a:	+0.89%/+0.42%	0%/+0.24%	10.0	P-IV 3.0G	
				KTDHS12:	0%/+0.79%	0%/+0.18%	10.0	Xe 2.67G	
				UHGS:	+0.09%/-0.10%	0%/-0.10%	5.27	Opt 2.2G	
TDVRPTW	SD88	100	F/C	KTDHS12:	+2.25%	0%	10.0	Xe 2.67G	
				UHGS:	-3.31%	-3.68%	21.94	Opt 2.2G	
				BDHMG08:		+0.59%	10.15	Ath 2.6G	
VFMPTW	LS99	100	D	RT10:	+0.22%		16.67	P-IV 3.4G	
				UHGS:	-0.15%	-0.24%	4.58	Opt 2.2G	
VFMPTW	LS99	100	С	BDHMG08:		+0.25%	3.55	Ath 2.6G	
				BPDRT09:		+0.17%	0.06	Duo 2.4G	
				UHGS:	-0.38%	-0.49%	4.82	Opt 2.2G	









Variant	Bench.	n	Obj.	State-of-the-art methods					
variant				Author	Avg.%	Best%	T(min)	CPU	
				PR08:		+1.75%		Opt 2.2G	
PVRPTW	CL01	[48, 288]	С	CM12:	+1.10%	+0.76%	$64 \times 11.3$	$64 \times Xe \ 3G$	
				UHGS*:	+0.63%	+0.22%	32.7	Xe 2.93G	
				PBDH08:		+1.37%	147	P-IV 3.6G	
MDVRPTW	CL01	[48,288]	С	CM12:	+0.36%	+0.15%	$64 \times 6.57$	$64{\times}{\rm Xe}$ 3G	
				UHGS*:	+0.19%	+0.03%	6.49	Xe 2.93G	
	CL01	[48,288]	С	B10:	+2.23%		2.94	Qd 2.67G	
SDVRPTW				CM12:	+0.62%	+0.36%	$64 \times 5.60$	$64 \times Xe \ 3G$	
				UHGS*:	+0.36%	+0.10%	5.48	Xe 2.93G	
VRPSTW	SD88	100	F/TW/C	F10:	0%		9.69	P-M 1.6G	
(type 1, $\alpha$ =100)	5000	100		UHGS:	-3.05%	-4.42%	18.62	Opt 2.2G	
VRPSTW	SD88	100	C+TW	KTDHS12:	+0.62%	+0.00%	10.0	Xe 2.67G	
(type 1, $\alpha = 1$ )	5000			UHGS:	-0.13%	-0.18%	5.82	Opt 2.2G	
VRPSTW	SD88	100	F/TW/C	FEL07:	0%		5.98	P-II 600M	
(type 2, $\alpha = 100$ )	5000	100		UHGS:	-13.91%	-13.91%	41.16	Opt 2.2G	
VRPSTW	SD88	100	C+TW	UHCS	10.26%	0%	20.06	Opt 2.2C	
(type 2, $\alpha = 1$ )	5000	100		ongs.	+0.2070	070	43.30	Opt 2.20	
MDPVRPTW	New	[48, 288]	С	UHGS:	+0.77%	0%	16.89	Opt 2.2G	
VRTDSP	C00	100	E/C	PDDR10:	0%/0%	0%/0%	88	Opt 2.3G	
(E.U. rules)	G09 100		r/U	UHGS*:	-0.56%/-0.54%	-0.85%/-0.70%	228	Xe 2.93G	









- □ BKS has been found or improved on 954/1008 problems !
- □ Strictly improved on 550/1008 problems.
- □ All known optimal solutions have been retrieved !!
- □ Run time of a few minutes for average-size instances (n = 200-300)
- □ Standard deviation below 0.1%
- Outperforming the current best 179/180 problem-dedicated algorithms from the literature. New best method on 28/29 problems and 37/38 benchmarks !!!



#### Conclusions

- □ A component-based design & unified hybrid genetic search
  - Method structure designed in accordance with problem structure. Attribute-dependent tasks are identified and addressed by adaptive components.
  - UHGS proof-of-concept : with unified solution representation, Split procedure, genetic operators (Crossover) and population management methods.
  - Major methodological breakthrough : UHGS redefines the state-of-theart for 26 major VRP variants, outperforming 179/180 current best problem-tailored methods.
  - Major impact on current OR practice : finally an efficient solver for rich VRP, state-of-the-art & ready-to-run.
- Generality does not necessarily go against performance for the considered MAVRPs.



#### **Perspectives**

#### Perspectives :

- Extend the range of problems (especially SEQ attributes, stochastic and multi-objective settings)
- UHGS can be now viewed as a "laboratory" on which we can experiment new solution concepts not only on one problem, but on many at the same time
- Integrate assignment, sequencing and route evaluations (often scheduling) within polynomially-enumerable large neighborhoods.
- Problems with multiple levels, cross-docking, synchronization, and multiple modes.


### **Outline of the presentation**

# I) A general-purpose solver for multi-attribute vehicle routing problems

- Multi-attribute vehicle routing problems
- An efficient and unified local search for MAVRPs
- > A Unified Hybrid Genetic Search (UHGS) for MAVRPs
- Computational experiments

### II) Timing problems and algorithms

- Several applications presenting similar *timing* issues
- Classification and notation
- Reductions
- Timing Re-optimization



- General effort dedicated to better address *rich vehicle routing problems* involving many side constraints and *attributes*.
- Observation : several VRP settings deserve their *richness* to the temporal features they involve : Time windows, time-dependent cost and travel times, flexible travel times, stochastic travel times, break scheduling...
- The same questions are encountered in different domains: vehicle routing, scheduling, PERT, and isotone regression in statistics, among others.
- Leading us to a cross-domain analysis and classification of *timing* problems and algorithms.











isotonic regression

















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42

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### ... with some characteristics in common



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### **Timing problems**

#### <u>TIMING</u>



- Timing problems seek to determine the execution dates (t<sub>1</sub>,...,t<sub>n</sub>) for a fixed sequence of activities.
- Totally ordered continuous variables
- □ Additional *features*  $F^x$  characterized by functions  $f_y^x$  for  $1 \le y \le m_x$  that participate either in the objective or as constraints:
  - > time windows, time-dependent proc. times, flexible travel times, time lags, no waiting, limited waiting, and so on...



### **Timing problems**

#### TIMING



- Several names in the literature: *Scheduling*, *Timing*, *Projections onto* Order Simplexes, Optimal service time problem ...
- Few dedicated studies, literature scattered among several research domains despite its relevance to many applications
- Thus motivating a dedicated review and analysis of timing algorithms to fill the gap.



### **Timing features from the vehicle routing domain**

#### **Rich vehicle routing problems** can involve various *timing features*

$\mathbf{Symbol}$	Parameters	Char. functions	Most frequent roles
D	due dates $d_i$	$f_i(\mathbf{t}) = (t_i - d_i)^+$	Service deadlines constraints, tardiness
R	release dates $r_i$	$f_i(\mathbf{t}) = (r_i - t_i)^+$	Release-dates, earliness.
TW	time windows	$f_i(\mathbf{t}) = (t_i - l_i)^+$	Time-window constraints,
	$TW_i = [e_i, l_i]$	$+(e_i-t_i)^+$	soft time windows.
MTW	multiple TW	$f_i(\mathbf{t}) = \min\left[(t_i - l_{ik})^+\right]$	Multiple time-window constraints
	$MTW_i = \cup [e_{ik}, l_{ik}]$	$+(e_{ik}-t_i)^+]$	
$\Sigma c_i(t_i)$	general $c_i(t)$	$f_i(\mathbf{t}) = c_i(t_i)$	Time-dependent service costs
$\Sigma c_i^{\mathrm{CVX}}(t_i)$	convex $c_i^{\text{cvx}}(t_i)$	$f_i(\mathbf{t}) = c_i^{\text{CVX}}(t_i)$	Time-d. convex service costs
DUR	total dur. $\delta_{max}$	$f(\mathbf{t}) = (t_n - \delta_{max} - t_1)^+$	Duration or overall idle time
NWT	no wait	$f_i(\mathbf{t}) = (t_{i+1} - p_i - t_i)^+$	No wait constraints
IDL	idle time $\iota_i$	$f_i(\mathbf{t}) = (t_{i+1} - p_i - \iota_i - t_i)^+$	Limited idle time per stop, min idle time
			excess
P(t)	time-dependent	$f_i(\mathbf{t}) = (t_i + p_i(t_i) - t_{i+1})^+$	Time-dependent driving-times
	proc. times $p_i(t_i)$		
TL	time-lags $\delta_{ij}$	$f_i(\mathbf{t}) = (t_j - \delta_{ij} - t_i)^+$	Time-lag constraints
$\Sigma c_i(\Delta t_i)$	general $c_i(t)$	$f_i(\mathbf{t}) = c_i(t_{i+1} - t_i)$	Flexible travel times
$\Sigma c_{ij}(t_i, t_j)$	general $c_{ij}(t,t')$	$f_{ij}(\mathbf{t}) = c_i(t_i, t_j)$	Separable objectives or constraints by any
			pairs of variables









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### **Timing features hierarchy**

- These features can be classified and hierarchized (many-one linear reduction relationships between the associated timing problems)
- Features in the NP hard area lead to NP hard timing problems

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### **Timing features hierarchy**

- In this presentation,
   brief glimpse of the analysis.
- We examine a particular feature as illustrative example
- A similar study has been conducted on other features from this figure.









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- Timing problem
  - with soft time-windows (penalized early and late arrival)
  - and generally with any convex separable cost

$$\min_{\substack{(t_1, \dots, t_n) \in \Re^{n+} \\ s.t.}} \sum_{i=1}^n \{ \alpha (\bar{e_i} - t_i)^+ + \beta (t_i - \bar{l_i})^+ \}$$

$$s.t. \quad t_i + p_i \le t_{i+1} \qquad 1 \le i < n$$

$$\begin{array}{c|c} \min_{(t_1,\ldots,t_n)\in\Re^n} & \sum_{i=1}^n c_i^{\text{CVX}}(t_i) \\ s.t. & t_i + p_i \le t_{i+1} \end{array}$$

- We inventoried more than 30 algorithms from various domains (routing, scheduling, PERT, statistics...) that address these models.
- The solution block representation / active set framework (Chakravarti 1989, Best & Chakravarti 1990, Best et al. 2000, Ahuja & Orlin 2001) can be used to characterize these methods. But we need to generalize the optimality conditions to the non-smooth case.



- A block B is defined as a subsequence of activities  $(a_{B(1)},...,a_{B(|B|)})$ processed consecutively (such that  $t_i + p_i = t_{i+1}$ )
- <u>Theorem</u>: Let costs  $c_i(t_i)$  be proper convex, eventually non-smooth, functions. A solution (t<sup>\*</sup>,,...,t<sup>\*</sup>) of the timing problem with convex separable costs is optimal if and only if it can be assimilated to a succession of activity blocks  $(B_1,...,B_m)$  such that:
  - 1) Blocks are optimally placed: for each block B<sub>i</sub>,  $t^*_{Bi(1)} \in argmin C_{Bi}(t)$





3) Blocks are consistent: for each block B<sub>i</sub> and prefix block B<sub>i</sub><sup>k</sup>,

 $t^*_{B_i(1)} + \Sigma p_{B_i(i)} < t^*_{B_{i+1}(1)}$ 

max argmin  $C_{Bi}^{k}(t) \ge t_{Bi(1)}^{*}$ 

de Montré



- □ Three main families of algorithms can be identified:
  - Primal feasible, that respect spacing condition 2
  - > Dual feasible, that respect *consistency condition 3*
  - > Dynamic programming
- □ To illustrate, consider a small problem with 6 activities





### Primal feasible method, respecting the spacing condition.

- > Brunk (1955) : Minimum Lower Set Algorithm :  $O(n^2)$  unimodal minimizations
- Extended by Garey et al. (1988) and Best & Chakravarti (1990) :
   O(n) unimodal function minimizations in the general convex case.
   O(n log n) elementary operations in the case of (E/T) scheduling







- Other related methods from (E/T) scheduling: Lee and Choi (1995), Davis and Kanet (1993), Wan and Yen (2002), Pan and Shi (2005) in O(n log n)
- For more general cases, Hendel and Sourd (2007) for PL functions, and Chrétienne and Sourd (2003) in the context of PERT with convex costs.







### Dual feasible method, respecting the consistency condition.

> Ayer et al. (1955) : Pool Adjacent Violator Algorithm (PAV).



- Best et al. (2000) and Ahuja and Orlin (2001) : Extension to the general convex case -> O(n) unimodal function minimizations
- Pardalos (1995) : O(n log<sup>2</sup> n) for Isotone Regression with || ||<sub>1</sub> (= E/T scheduling with equal penalties for earliness and tardiness)
- Grotzinger and Witzgall (1984) and Pardalos and Xue (1999) -> O(n) elementary operations for the quadratic case.
- Dumas et al. (1990) : another application of this principle for the VRP with convex service costs -> O(n) unimodal function minimizations











- Dynamic programming-based methods (Yano and Kim 1991, Sourd 2005, Ibaraki et al. 2005, 2008, Hendel and Sourd 2007, Hashimoto et al. 2006, 2008)
- □ Forward dynamic programming

$$F_i(t) = \min_{0 \le x \le t} \{ c_i(x) + F_{i-1}(x - p_{i-1}) \}$$

□ Backward dynamic programming

$$B_i(t) = \min_{x \ge t} \{ c_i(x) + B_{i+1}(x+p_i) \}$$



□ Forward dynamic programming

$$F_i(t) = \min_{0 \le x \le t} \{ c_i(x) + F_{i-1}(x - p_{i-1}) \}$$





### **Timing problems**

 Hence, many different methods for this particular feature example. The literature on timing problems is rich, but scattered. All in all, 26 different methods from different domains were classified as variations of 3 main algorithmic ideas.



- Furthermore, when used within LS, solving all timing problems *from* scratch is generally not efficient
- The general goal when exploring neighborhoods is to solve N successive timing problems with different activity permutations σ<sup>k</sup>.

$$\min_{\mathbf{t}=(t_1,\dots,t_n)\in\Re^{n+}} \sum_{F^x\in\mathcal{F}^{\text{OBJ}}} \alpha_x \sum_{1\leq y\leq m_x} f_y^x(\mathbf{t})$$
s.t.  $t_{\sigma^k(i)} + p_{\sigma^k(i)\sigma^k(i+1)} \leq t_{\sigma^k(i+1)}$ 
 $f_y^x(\mathbf{t}) \leq 0$ 



### **Timing re-optimization**

- □ In classical VRP neighborhoods, the neighborhood size is often rather large:  $|N| = \Omega(n^2)$ , and permutations are very particular.
  - > They have a bounded number (often <= 4) of breakpoints: integers x such that  $\sigma(x)+1 \neq \sigma(x+1)$ ,



The resulting sequences of activities can be assimilated to recombinations of a bounded number of subsequences.



Such that invariants on subsequences can be exploited through the search (Savelsbergh 1985, 1992, Kindervater 1998).



### **Timing re-optimization**

- Management of information of subsequences, efficient timing reoptimization by means of a subset of 4 procedures, used within local searches:
  - > Initialization of suitable re-optimization data for a single activity
  - Forward (F) or backward (B) computation of data on larger subsequences
  - Evaluation of a concatenation of two (C2) or more (C3+) subsequences

Algorithm 1 Re-optimization

- 1: Build re-optimization data on subsequences of the *incumbent timing problem*  $\mathcal{T}$ , using *initialize*, and *forward extension* or *backward extension*.
- 2: For each timing subproblem  $\mathcal{T}^k$ ,  $k \in \{1, \ldots, N\}$ ;
- 3: Determine the breakpoints involved in the permutation function  $\sigma^k$ ;
- 4: Evaluate the optimal cost of  $\mathcal{T}^k$ , as the concatenation of  $b(\sigma) + 1$  activity subsequences from  $\mathcal{T}$  (see Equation 39).



Example of soft time-windows: Forward and backward extension to compute data on subsequences, and *evaluate concatenation* of 2 sequences (Ibaraki et al. 2005, 2008):

$$Z^*(A_1 \oplus A_2) = \min_{t \ge 0} \{ F(A_1)(t) + B(A_2)(t + p_{A_1(|A_1|)A_2(1)}) \}$$

- In the convex case, the concatenation of 3+ sequences is also addressed efficiently.
- > O(log  $\phi$ ) for convex piecewise functions with a total of  $\phi$  pieces.
- > O(log n) move evaluations for soft TW



- For other features: Surveying the literature, we classified many reoptimization based methodologies from various domains, and for a large variety of attributes. (Savelsbergh 1985,1992, Kindervater and Savelsbergh 1997, Campbell and Savelsbergh 2004, Ergun and Orlin 2006, Irnich 2008, Hashimoto et al. 2006,2008, Kedad-Sidhoum and Sourd 2010)...
- We could identify a set of state-of-the-art timing methods, which are the key to solve many rich VRP settings:



### **Conclusions on timing problems**

Problem	From Scra	tch	Re-opt. by concat.	F/B C2	C3+	$\mathbf{Sd}$	Assumptions
$\{W \phi\}$	Min idle time	O(n)		<i>O</i> (1)	O(1)	$\checkmark$	
$\{ \phi   TW \}$	Min idle time	O(n)	Savelsbergh (1985) & Kind. and Sav. (1997)	O(1)	O(1)	~	
$\{D  \phi\}$	Min idle time	O(n)	Ergun and Orlin (2006)	$O(\log n) O(1)^*$	—		penalty coefficient depending upon act.
$\{D, R(d_i = r_i)   NWT\}$	Min idle time	O(n)	Kedad-Sidhoum and Sourd (2010)	$O(\log n) O(1)^*$	—		penalty coefficient depending upon act.
$\{D, R(d_i = r_i)   \phi\}$	Garey et al. (1988) & Ahuja and Orlin (2001)	$O(n\log n)$	Ibaraki et al. (2008)	$O(\log n)$	$O(\log n)$	~	
$\{D R\}$	Min idle time	O(n)	Ibaraki et al. (2008)	$O(\log n)$	$O(\log n)$	$\checkmark$	
$\{\Sigma c_i^{ ext{cvx}}(t_i)   extsf{ extsf{ iny observable}}\}$	Ibaraki et al. $(2008)$	$O(n\log arphi_c)$	Ibaraki et al. (2008)	$O(\log arphi_c)$	$O(\log arphi_c)$	$\checkmark$	cost f. $\geq 0,$ p.l. & l.s.c
$\{\Sigma c_i(t_i)   extsf{ extsf{ iny boundary constraints}}\}$	Ibaraki et al. $(2005)$	$O(n arphi_c)$	Ibaraki et al. (2005)	$O(arphi_c)$	$O(arphi_c)$	$\checkmark$	cost f. $\geq 0,$ p.l. & l.s.c
$\{\phi MTW\}$	Min idle time	$O(n + \varphi_{\text{mtw}})$	Ibaraki et al. (2005)	$O(\log arphi_{\scriptscriptstyle \mathrm{MTW}})$	_	$\checkmark$	
$\{DUR TW\},\ \{\emptyset DUR,TW\}$	Malcolm et al. (1959)	O(n)	Savelsbergh (1992) & Kind. and Sav. (1997)	O(1)	O(1)	~	
$ \{ DUR   MTW \}, \\ \{ \phi   DUR, MTW \} $	Tricoire et al. (2010)	$O(n arphi_{MTW})$	Hashimoto et al. (2006)	$O(arphi_{ ext{MTW}})$	—	~	
$\{ \emptyset   IDL, TW \}$	Hunsaker and S. (2002)	O(n)			_		
$\{\Sigma c_i^{\scriptscriptstyle ext{\tiny CVX}}(\Delta t_i),\Sigma c_i(t_i)  extit{ heta}\}$	Sourd (2005) & Hashimoto et al. (2006)	$O(n(\varphi_c + \widehat{\varphi_c} \times \varphi_c'))$	Sourd (2005) & Hashimoto et al. (2006)	$O(arphi_c + \widehat{arphi_c}  imes arphi_c')$	—	~	cost f. $\geq 0,$ p.l. & l.s.c
$\{D R, P(t)\}$	Min idle time	O(n)					FIFO assumption
$\{ \phi   TW, P(t) \}$	Donati et al. $(2008)$	O(n)	Donati et al. (2008)	O(1)	_	$\checkmark$	FIFO assumption
$\{\Sigma c_i(t_i) P(t)\}$	Hashimoto et al. (2008)	$O(n(arphi_c+arphi_p))$	Hashimoto et al. (2008)	$O(arphi_c+arphi_p)$	_	~	cost f. $\geq 0$ , p.l. & l.s.c & HYI assumption
$\{ \phi   TL, TW \}$	Hurink and Keuchel (2001)	$O(n^3)$			_		
$\{ \phi   TL, TW \}$	Haugland and Ho $(2010)$	$O(n \log n)$					O(n) TL constraints
$\{DUR > D > TL R\}$	Cordeau and Laporte (2003)	$O(n^2)$	_		_		O(n) TL constraints & LIFO assumption
$\{ \Sigma c_{ij}^{ ext{cvx}}(t_j - t_i), \Sigma c_i^{ ext{cvx}}(t_i)    extsf{ extsf{ iny boundary constraint}} \}$	Ahuja et al. (2003)	$O(n^3 \log n \log(nU))$	_		—		U is an upper bound of execution dates











### **Conclusions on timing problems**

- Large analysis of a rich body of problems with time characteristics and totally ordered variables. Cross-domain synthesis, considering methods from various fields such as vehicle routing, scheduling, PERT, and isotonic regression. Identification of main resolution principles
- For several "rich" combinatorial optimization settings, the timing subproblems represent the core of "richness" and deserve particular attention.
- Furthermore, timing sub-problems frequently arise in the context of local search, and thus we analyzed both stand-alone resolution and efficient solving of series of problems.



- <u>Proof of concept</u>: timing procedures have been integrated in a recent Unified Hybrid Genetic Search, yielding state-of-the-art results on 26 variants of vehicle routing problems
- Several features and feature combinations were identified in this work, for which new timing algorithms (including re-optimization procedures) should be sought.
- Generalization to other cumulative resources, multi-objective or stochastic settings.
- □ Further studies on complexity lower bounds.



# Thank you for your attention !

#### □ For further reading, and follow-up works:

- Vidal, T., Crainic, T. G., Gendreau, M., Lahrichi, N., & Rei, W. (2012). A Hybrid Genetic Algorithm for Multi-Depot and Periodic Vehicle Routing Problems. *Operations Research*, 60(3), 611–624.
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- Vidal, T., Crainic, T. G., Gendreau, M., & Prins, C. (2012). A Unified Solution Framework for Multi-Attribute Vehicle Routing Problems. *Submitted to Operations Research. Tech Rep CIRRELT-2012-23.*
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- Goel, A., & Vidal, T. (2012). Hours of service regulations in road freight transport : an optimization-based international assessment. *Submitted to Trans. Sci. Revised. Tech Rep CIRRELT-2012-08.*
- These papers + some others can be found at <a href="http://w1.cirrelt.ca/~vidalt/">http://w1.cirrelt.ca/~vidalt/</a>





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- > Sensitivity analysis on diversity management methods:
  - HGA : No diversity management method
  - HGA-DR : Dispersal rule on objective space
  - HGA-PM : Dispersal rule on solution space
  - HGSADC : The proposed approach

Benchmark		HGA	HGA-DR	HGA-PM	HGSADC
PVRP	Т	6.86 min	7.01 min	7.66 min	8.17 min
	%	+0.64%	+0.49%	+0.39%	+0.13%
MDVRP	Т	7.93 min	7.58 min	9.03 min	8.56 min
	%	+1.04%	+0.87%	+0.25%	-0.04%
MDPVRP	Т	25.32 min	26.68 min	28.33 min	40.15 min
	%	+4.80%	+4.07%	+3.60%	+0.44%







- Behavior of HGSADC during a random run:
  - Higher entropy (average distance between two individuals)
  - Better final solution
  - Diversity can increase during run time

